

Tasmanian Audit Office register of gift offers: 1 July 2015 to 30 June 2016

Date of declaration	Name of company and/or individual offering gift	Date of offer/ receipt	Description of gift	Est./ actual value	Reason for offer	Regardless of decision, is there/would there be a conflict of interest in accepting this gift? a) perceived b) actual c) potential	Decision maker name & position (job title & unit/division/branch/section)	Relationship - does: a) a discretionary decision making authority exists between the staff member and offeror? b) no direct discretionary authority exists between the staff member and offeror, however gift could cause a conflict of interest with other discretionary decisions in the agency? c) no discretionary authority exists between the staff member or anyone in the agency, and the offeror?	Decision - give date decision completed and state: a) declined/returned (state which) b) disposed of (state how) c) accepted by agency (state use) d) accepted by the staff member (state conditions)	Reasons for decision
5/07/2015	Local Government	5/07/2015	Bottle of red wine and cup of coffee	\$25.00	Services provided as a panel member.	None - other panel members received same gift and it was deemed inappropriate to refuse.	x	No	Accepted by staff member.	Token gift and inappropriate to decline.
7/07/2015	Government House	7/07/2015	Lunch provided by Office of Governor whilst working there	\$20.00	Normal practice for Office of the Governor staff to be provided lunch, visitors also offered lunch as matter of course.	None - as normal practice for staff and visitors to be provided meal as location is not close to shops.	Ric De Santi - Deputy Auditor-General	No	Accepted by staff member.	Token gift and normal practice and no shops available close to purchase sustenance.
15/07/2015	RBF	17/07/2015	Building owners provided coffee to all staff working in 144 Macquarie Street to say thank you for putting up with building disruption	\$50.00	Thank you for building disruption.	No as recipients unknown and was offered to all building tenants	Ric De Santi - Deputy Auditor-General	No	Accepted by TAO - extent unknown.	Token gift, unable to determine who received coffee.
25/08/2015	CPA	25/08/2015	Free invitation to attend the Kate Stanton Lecture at the University of Tasmania	Unsure	This was a public event run jointly by UTAS and CPA. Also, Kate Stanton was an employee of the Office and our affiliation with CPA.	No as this invitation is not unusual due to the reason for the offer.	Ric De Santi - Deputy Auditor-General	No	Accepted by staff member. No refreshments were consumed.	Token gift and inappropriate to decline due to reason for offer.
2/09/2015	Governor	2/09/2015	Dinner with 12 guests at Government House with an overseas representative.	\$75.00	As Auditor-General of Tasmania.	No due to nature of role.	Ric De Santi - Deputy Auditor-General	No	Accepted by staff member.	Inappropriate to decline.
3/09/2015	Deloitte	3/09/2015	Invitation to Fraud Survey and member of discussion panel.	\$49.00	As Deputy Auditor-General representing the Office.	No due to nature of role.	Mike Blake, Auditor-General	No - whilst a client the invitation was in the role of the expertise provided in fraud as the Deputy Auditor-General of the TAO.	Accepted by staff member.	As part of ordinary business activities as an audit office.
4/09/2015	UTAS	4/09/2015	Breakfast with Faculty of Business to celebrate 125 years and appoint inaugural alumnae.	\$40.00	As Auditor-General of Tasmania.	No due to nature of role.	Ric De Santi - Deputy Auditor-General	No	Accepted by staff member.	Token gift and inappropriate to decline.
28/09/2015	Government House	21 -23/09/2015	Lunch provided by Office of Governor whilst working there	\$20.00	Normal practice for Office of the Governor staff to be provided lunch, visitors also offered lunch as matter of course.	None - as normal practice for staff and visitors to be provided meal as location is not close to shops.	Ric De Santi - Deputy Auditor-General	No	Accepted by staff member.	Token gift and normal practice and no shops available close to purchase sustenance.
28/09/2015	Government House	21/09/2015	Lunch provided by Office of Governor whilst working there	\$15.00	Normal practice for Office of the Governor staff to be provided lunch, visitors also offered lunch as matter of course.	None - as normal practice for staff and visitors to be provided meal as location is not close to shops.	Ric De Santi - Deputy Auditor-General	No	Accepted by staff member.	Token gift and normal practice and no shops available close to purchase sustenance.
13/10/2015	Macquarie Point Development	12/10/2015	Invitation to end of year BBQ for contractors.	\$50.00	Client thanking contractors for assistance in 2015.	Yes- Potential impairment of independence which is critical for an external audit.	Patty Johnson - Director CSS	Possible	Declined by staff member.	Inappropriate to attend.
5/10/2015	Office National	Ongoing	None provided - Office National run a rewards program which the Office was inadvertently accumulating points.	N/A	Part of loyalty campaign for Office National customers.	Possible - might influence which stationary supplier the Office uses.	Ric De Santi - Deputy Auditor-General	No	Opt out from rewards scheme.	No benefit to Office to use this supplier above another.
13/11/2015	Local Government association	12/11/2015	Bottle of wine	\$20.00	Gift in lieu of speaking at a conference	No - no client involvement with the person organising the event throughout the audit	Ric De Santi - Deputy Auditor-General	No - no relationship with the organiser of the event	Accepted by staff member	Token gift and inappropriate to decline due to reason for offer.
13/11/2015	LGAT/LGMA	12/11/2015	Bottle of wine	\$20.00	Gift in lieu of speaking at a conference	LGAT is a client but in the context of conference, as with LGMA, we were invited to speak about our audit work, and as such LGAT and LGMA are stakeholders	Mike Blake, Auditor-General	LGAT is a client but in the context of conference, as with LGMA, we were invited to speak about our audit work, and as such LGAT and LGMA are stakeholders	Accepted by staff member	Gift was token, don't often speak at such conferences, inappropriate to decline.
13/11/2015	CPA Australia	15/12/2015	CPA past presidents lunch	\$75.00	Member of CPA Australia and Past president of Tasmanian State Council. Recognition of past service to state council	Maybe - CPA is a supplier of training to the Office	Mike Blake, Auditor-General	CPA has a number of staff as its members. CPA also supplies training to the Office	Declined by staff member, but than attendance approved by AG	AG supports staff taking up leadership roles at accounting bodies. These type of benefits come with the territory.
16/11/2015	Wise Lord Ferguson	4/11/2015	Cup of coffee	\$4.00	Final catch up	No - cup of coffee is token	Ric De Santi - Deputy Auditor-Gen	Yes - WLF are audit contractors for the Office	Accepted by staff member	Over the years, the AG has taken Partner for a coffee and always paid for both. On this occasion, saw no reason not to let them pay token amount
19/11/2015	Australian Public Sector Anti Corruption	18/11/2015	Accommodation and Conference Registration at 2015 APSAC Conference	\$1,244.00	Presenter at conference	No - Auditor Generals often speak at this conference	Ric De Santi - Deputy Auditor-General	Auditor General involvement in conferences of this nature adds to public accountability	Accepted by Auditor General with Office paying airfares and other costs	It is in the public interest that Auditor Generals present at such conferences to add to public accountability
30/11/2015	Deloitte	30/11/2015	Invitation to luncheon for presentation of Deloitte Tasmanian Fraud Survey. Member of an expert panel to take questions in relation to fraud at lunch	\$45.00	Member of expert panel taking questions in relation to fraud	No - gift was token, attendance involved participation as member of expert panel which helps to promote the Office	Mike Blake, Auditor-General	Deloitte are a contractor for the Office	Accepted by Staff Member	It is part of the Office's role to support events that contribute to Fraud prevention

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10/12/2015	IPWEA	25/11/2015	IPWEA - Australian Infrastructure Financial Management Manual	\$1,000.00	In recognition/in lieu of time spent by TAO staff in providing input into manual	No - apart from the manual the Office has limited dealings with IPWEA	Mike Blake, Auditor-General	The Office has provided input into the development of the manual over the years	Keep the manual and put in Office Library to be used as a resource for all staff	Given work done in providing comments towards the development of the manual, the ongoing relationship with another professional body and the use the manual will be put to, despite the value, it is considered appropriate to accept the offer. NB also having regard to IPWEA not being a supplier to the Office
10/12/2015	CPA Australia	3/12/2015	Invitation to year end member event involving nibbles and drinks	\$40.00	Year end function, invited due to membership of CPA	No - token and non-suspect nature	Mike Blake, Auditor-General	The Office sometimes utilises professional development offerings from CPA for staff	Staff member declined originally, however, attendance was approved by AG	CPA is an important member body for TAO and relationships of this sort are a relevant part of the Office
17/12/2015	CPA Australia	3/12/2015	Invitation to year end member event involving nibbles and drinks	\$15.00	Year end function, invited due to membership of CPA	No - token and non-suspect nature	Ric De Santi - Deputy Auditor-General	TAO is a member of the Recognised Employer Program run by CPA. TAO sometimes procures training or educational materials from CPA	Accepted	Token in nature. Part of professional membership of a key accounting body
18/12/2012	ORIMA	18/12/2015	USB key drive	\$5.00	Not known	Yes - but immaterial and manageable, will not influence decisions	Ric De Santi - Deputy Auditor-General	None directly - however AG supports their engagement to carry out surveys for TAO	Accepted	Gift was token and not s
18/12/2012	ORIMA	18/12/2015	USB key drive	\$5.00	Not known	Yes - but immaterial and manageable, will not influence decisions	Mike Blake, Auditor-General	None directly - however AG supports their engagement to carry out surveys for TAO	Accepted	Gift was token and not s
2/05/2016	CPA Australia	22/04/2016	Complimentary lunch at Josef Chromy Vineyard	\$40.00	Member of CPA Australia for 20 years, not as a result of being employed at TAO and is consistent with the offer to all members of CPA regardless of employer	No - non suspect in nature	Ric De Santi - Deputy Auditor-General	None directly - member of CPA organisation	Accepted	Lunch offered to all members of CPA due to 20 years of membership, not related to employment at Audit Office
19/04/2016	Deloitte	19/04/2016	Invitation to Deloitte Partner Alumni Luncheon	\$50.00	Invited as a member of the Deloitte Partner Alumni	Yes - Would undermine Independence of the Auditor-General	Patty Johnson, Acting Chief Operating Officer	Deloitte are a contractor for the Office	Declined	Independence of the Auditor-General
10/06/2016	CPA Australia	10/06/2016	Invitation to attend CPA Australia Dinner	\$150.00	Employee of the Tasmanian Audit Office who are members of CPA Australia	No	Patty Johnson, Acting Chief Operating Officer	The Office sometimes utilises professional development offerings from CPA for staff	Declined	Individual not a member of CPA Australia
26/06/2016	FYB Free seminar	26/06/2016	FYB Seminar	\$20.00	Token gift bag for the conference	No - token and non-suspect nature	Ric De Santi - Deputy Auditor-General	No	Accepted	No direct decision making authority and inconsequential value of gift



