

Tasmanian Audit Office register of Gift and Offers: 1 July 2016 - 30 June 2017

Date of declaration	Name of company and/or individual offering gift	Date of offer/ receipt	Description of gift	Est./ actual value	Reason for offer	Regardless of decision, is there/would there be a conflict of interest in accepting this gift? a) perceived b) actual c) potential	Decision maker name & position (job title & unit/division/branch/section)	Relationship - does: a) a discretionary decision making authority exists between the staff member and offeror? b) no direct discretionary authority exists between the staff member and offeror, however gift could cause a conflict of interest with other discretionary decisions in the agency? c) no discretionary authority exists between the staff member or anyone in the agency, and the offeror?	Decision - give date decision completed and state: a) declined/returned (state which) b) disposed of (state how) c) accepted by agency (state use) d) accepted by the staff member (state conditions)	Reasons for decision
11/07/2016	CPA Australia	28/06/2016	Registration and accommodation for CPA Congress and International Public Sector Convention	\$750.00	Recognition of participation in Public Sector network	Yes, CPA a supplier of training and the value of the offer	Patty Johnson, Director Corporate Support Services	A discretionary decision making authority exists between the staff member and CPA as a training provider to the office	Declined	The value of the gift and CPA being a training provider
11/07/2016	CPA Australia	8/07/2016	Dinner Invitation	\$100.00	Past divisional councillor, past member of representative council, current member external reporting CoE, FCPA member and member of public sector network	Yes, CPA a supplier of training and many staff are members	Patty Johnson, Director Corporate Support Services	A discretionary decision making authority exists between the staff member and CPA as a training provider to the office	Declined	The value of the gift and CPA being a training provider
13/07/2016	CPA Australia	12/07/2016	Fellow of CPA Australia Luncheon	\$50.00	Member involvement in a number of State and National committees over a long period of time, membership is paid for by the Office	Yes, CPA a supplier of training and many staff are members	Rod Whitehead, Auditor-General	A discretionary decision making authority exists between the staff member and CPA as a training provider to the office and the office in funding membership of the body	Declined	The value of the gift and CPA being a training provider
1/08/2016	Deloitte Australia	27/07/2016	Invitation to Deloitte 2016 National Partners Meeting	\$1,500.00	Offer usually made to all partners who retired during the financial year to attend this year's national partners meeting	Yes, Deloitte is a contracted audit firm	Ric De Santi, Deputy Auditor-General	A discretionary decision making authority exists between the staff member and Deloitte as they are a contracted audit firm	Declined	To maintain the independence of the Auditor-General
15/08/2016	CPA Australia	3/08/2016	Invitation to CPA Luncheon	\$40.00	CPA State Councillor	Yes, CPA a supplier of training and many staff are members	Ric De Santi, Deputy Auditor-General	No direct discretionary authority exists, however the Office sometimes utilises professional development offerings from CPA for staff	Declined	Declined by staff member
15/08/2016	CPA Australia	1/08/2016	Box of chocolates from Coal River	\$18.00	Thank you gift for participating in a panel	Yes, CPA a supplier of training and many staff are members	Jara Dean, Assistant Auditor-General Financial Audit Services	No direct discretionary authority exists, however the Office sometimes utilises professional development offerings from CPA for staff	Accepted	The gift was a token of appreciation, was of small monetary value and the circumstances around the offer were not unusual
23/08/2016	Hadley's Orient Hotel	23/08/2016	Invitation to an Open Hotel Event & refreshments	\$15.00	Opportunity to meet the new Hadley's team after Doherty's take over and refurbishment	Yes, the Manager is now a family member	Ric De Santi, Deputy Auditor-General	Executive Assistant is often required to arrange conferences and accommodation, so a discretionary decision making authority exists	Declined	Declined by staff member due to relationship with the Manager at Hadley's
29/08/2016	Rapid Insights	26/08/2016	Two tickets to a Conflict Resolution Seminar	\$1,500.00	Two persons can no longer attend and offering an opportunity for other to take their place at no cost to them	Yes - perceived	Rod Whitehead, Auditor-General	No direct discretionary authority exists, however the Executive Assistant may be required to book training with the provider in the future	Declined	Declined due to gift policy
3/10/2016	Tasplan Super	3/10/2016	Invitation to attend lunch session on investment and market insights	\$100.00	Networking opportunity	Yes, the Office will have to audit the financial statements of the RBF after the RBF Tasmanian Accumulation Scheme has been removed from the RBF	Ric De Santi, Deputy Auditor-General	A discretionary decision making authority exists	Declined	To maintain the independence of the Auditor-General
4/11/2016	Lord Mayor of Hobart	28/10/2016	Invitation to attend afternoon tea with the Prime Minister	\$20.00	To represent the Office, expected as a statutory office holder	Yes, as a signing officer for Hobart City Council, could be a perceived conflict, but manageable as this is a public event	Ric De Santi, Deputy Auditor-General	A discretionary decision making authority exists	Accepted	Attendance accepted given the nature of the function and the low value
17/11/2016	Australian Universities Senior Finance Officers Group	15/11/2016	Invitation to attend AUSFOG dinner	\$180.00	In recognition of presentation to AUSFOG conference	Yes, potential perceived independence threat	Ric De Santi, Deputy Auditor-General	A discretionary decision making authority exists	Declined	To maintain the independence of the Auditor-General
5/12/2016	CPA Australia	14/11/2016	Invitation to attend lunch for past CPA Presidents	\$60.00	Past President of Tasmanian Division of CPA	Yes, CPA a supplier of training and many staff are members	Patty Johnson, Director Corporate Support Services	A discretionary decision making authority exists	Declined	The value of the gift and CPA being a training provider
16/12/2016	Chartered Accountants	14/12/2016	Invitation to attend council function regarding professional standards amendments bill	\$20.00	Passing of the standards amendment bill	Yes, as a number of employees obtain post graduate qualifications with CA	Ric De Santi, Deputy Auditor-General	A discretionary decision making authority exists	Declined	To maintain the independence of the Auditor-General
24/02/2017	Font Media	20/02/2017	Invitation to attend lunch hosted by Robert Rokefeller	\$100.00	Invitation from Font Media, who assist with public relations and media advisory services for the Office	Yes, the Office purchases services from Font Media	Ric De Santi, Deputy Auditor-General	A discretionary decision making authority exists	Declined	To maintain the independence of the Auditor-General
28/02/2017	Deloitte Australia	3/02/2017	Invitation to attend next government 2017	\$100.00	The Office procures professional services from Deloitte	Yes, Deloitte is a professional service provider	Ric De Santi, Deputy Auditor-General	A discretionary decision making authority exists	Declined	To maintain the independence of the Auditor-General
17/03/2017	Tasmanian Leaders Organisation	17/03/2017	4 recycled paper gift cards	\$20.00	Presented at Tasmanian Leaders Program Seminar at Parliament House	No	Ric De Santi, Deputy Auditor-General	No direct discretionary authority exists	Accepted	Minor value, not suspect in nature
19/03/2017	RBF	9/03/2017	Several staff Invited to an event to celebrate windup of RBF	\$30.00	RBF is a State entity which the Office was involved with its financial statements audit	No conflict as RBF itself is being disallowed	Ric De Santi, Deputy Auditor-General	No direct discretionary authority exists	Accepted by one staff member	Event will provide a networking opportunity with staff who will continue to be part of the newly established Office of the Superannuation Commissioner
20/03/2017	Devonport City Council	20/03/2017	Light refreshments	\$10.00	Refreshments provided at client meeting	Yes, as a signing officer for Devonport City Council, could be a perceived conflict	Ric De Santi, Deputy Auditor-General	A discretionary decision making authority exists	Accepted	Minor value and incidental to business meeting
23/03/2017	KPMG	3/03/2017	Luncheon invitation to hear Michael Hiller briefing on Innovation in the Public Sector	\$50.00	Known relationship	Yes, KPMG is a professional service provider	Ric De Santi, Deputy Auditor-General	A discretionary decision making authority exists	Declined	To maintain the independence of the Auditor-General
6/04/2017	Ernst & Young	6/04/2017	Accounting Standard Reference Books	\$150.00	Government buyer	Potential, the Office procures professional services from Ernst & Young	Ric De Santi, Deputy Auditor-General	A discretionary decision making authority exists	Accepted	Gift not suspect in nature, retained by the office for use by staff

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12/04/2017	Tasplan Super	12/04/2017	Invitation to attend the State Budget Breakfast	\$30.00	Relationship development	Potential	Ric De Santi, Deputy Auditor-General	None, TasPlan is acquiring RBF Accumulation Fund	Declined	To maintain the independence of the Auditor-General
28/04/2017	Tasplan Super	11/05/2017	Invitation to attend opening of TasPlans new offices	\$10.00	Employees of the Office are members of TAsPlan St	Potential	Ric De Santi, Deputy Auditor-General	None, TasPlan is acquiring RBF Accumulation Fund	Declined	To maintain the independence of the Auditor-General
28/06/2017	University of Tasmania	15/06/2017	Invitation to Launceston University Dinner	\$150.00	Recently presented at University Launceston awards night	Yes - perceived	Ric De Santi, Deputy Auditor-General	No direct discretionary authority exists, however University of Tasmania is a client	Declined	To maintain the independence of the Auditor-General